## Finite state machines and regular languages

- Notations:
- Regular expressions
- Finite state transition networks
- Finite state transition tables
- Finite state machines and regular languages
- Definitions
- Some properties
- Finite state transducers


## Regular expressions

A regular expression (RE) is a description of a set of strings, a language.

- can be used to search for occurrences of these strings
- used in a variety of tools: grep, editors, corpus search tools (cqp), . . .
- Just like any other formalism, REs have no linguistic contents as such. But they can well be used to refer to units of morphological or phonological relevance.


## Some linguistically informed uses

- Determine the language of the following utterance: French or Polish?

Czy pasazer jadacy do Warszawy moze jechac przez Londyn?
$\Rightarrow$ Knowledge of morphologically/phonologically possible sequences of letters can be used for this task.

- Look up the following words in the dictionary: laughs, became, unidentifiable, Thatcherization
$\Rightarrow$ Knowledge of morphological composition needed.


## Basic regular expressions (1)

Regular expressions consist of

- strings of characters (case sensitive!): c, natural language, 100 years!
- disjunction:
- ordinary disjunction: | devoured|ate, famil(y|ies)
- character classes:
[Tt]he, bec[oa]me
- ranges:
[A-Z] for a capital letters
- negation: ^ as first letter after [
[’a] any symbol but a
[ $\mathrm{A}-\mathrm{Z}$ ] not an uppercase letter


## Basic regular expressions (2)

- counters
- optionality: ? colou?r
- any number of occurrences: * (Kleene star)
[0-9]* years
- at least one occurrence: + \$ [0-9] +
- wildcard for any character: . beg.n for any character in between beg and n

Operator precedence, from highest to lowest:
parenthesis ()
counters * + ?
character sequences
disjunction |

## Regular languages

How can the class of regular languages which is specified by regular expressions be characterized?

Let $\Sigma$ be the set of all symbols of the language (the alphabet), then:

1. $\}$ is a regular language
2. $\forall a \in \Sigma:\{a\}$ is a regular language
3. If $\mathrm{L}_{1}$ and $\mathrm{L}_{2}$ are regular languages, so are:
(a) the concatenation of $\mathrm{L}_{1}$ and $\mathrm{L}_{2}$ :

$$
L_{1} \cdot L_{2}=\left\{x y \mid x \in L_{1}, y \in L_{2}\right\}
$$

(b) the union (or disjunction) of $\mathrm{L}_{1}$ and $\mathrm{L}_{2}$ :
$L_{1} \cup L_{2}$
(c) the Kleene closure of $\mathrm{L}_{1}$ :
$L_{i}^{*}$

## Finite state machines

Finite state machines (FSM), also called finite state automata (FSA) can recognize or generate regular languages, such as those specified by regular expressions.

Example:

- Regular expression: colou?r
- Finite state machine:



## Finite state automaton

A finite state automaton is a quintuple
( $Q, \Sigma, E, S, F)$ with

- $Q$ a finite set of states
- $\Sigma$ a finite set of symbols, the alphabet
- $S \subseteq Q$ the set of start states
- $F \subseteq Q$ the set of final states
- $E$ a set of edges $Q \times(\Sigma \cup\{\epsilon\}) \times Q$

A transition function $d$ can be defined as

$$
d(q, a)=\left\{q^{\prime} \in Q \mid \exists\left(q, a, q^{\prime}\right) \in E\right\}
$$

## Language accepted by an FSA

Auxiliary concept: The extended set of edges $\hat{E} \subseteq$ $Q \times \Sigma^{*} \times Q$ is the smallest set such that

$$
\text { - } \forall\left(q, \sigma, q^{\prime}\right) \in E: \quad\left(q, \sigma, q^{\prime}\right) \in \hat{E}
$$

- $\forall\left(q_{0}, \sigma_{1}, q_{1}\right),\left(q_{1}, \sigma_{2}, q_{2}\right) \in \hat{E}: \quad\left(q_{0}, \sigma_{1} \sigma_{2}, q_{2}\right) \in \hat{E}$

The language $L(A)$ of a finite state automaton $A$ is defined as
$L(A)=\left\{w \mid q_{s} \in S, q_{f} \in F,\left(q_{s}, w, q_{f}\right) \in \hat{E}\right\}$

## Finite state transition networks

Finite state transition networks are graphical descriptions of finite state machines:

- nodes represent the states
- start states are marked with a short arrow
- final states are indicated by a double circle
- arcs represent the transitions

Simple example:


Regular expression specifying the language generated or accepted by the corresponding FSM: ablcb+

## Finite state transition tables

Finite state transition tables are an alternative, textual way of describing finite state machines:

- the rows represent the states
- start states are marked with a dot after their name
- final states with a colon
- the columns represent the alphabet
- the fields in the table encode the transitions

Our simple example:


## Properties of regular languages

Let $L_{1}$ and $L_{2}$ be regular languages.
The regular languages are closed under

- concatenation: $L_{1} \cdot L_{2}$
set of strings with beginning in $L_{1}$ and continuation in $L_{2}$
- Kleene closure: $L_{1}^{*}$
set of repeated concatenation of a string in $L_{1}$
- union: $L_{1} \cup L_{2}$
set of strings in $L_{1}$ or in $L_{2}$
- complementation: $\Sigma^{*}-L_{1}$
set of all possible strings that are not in $L_{1}$
- difference: $L_{1}-L_{2}$
set of strings which are in $L_{1}$ but not in $L_{2}$
- intersection: $L_{1} \cap L_{2}$
set of strings in both $L_{1}$ and $L_{2}$
- reversal: $L_{1}^{R}$
set of the reversal of all strings in $L_{1}$


## Further properties

- Recognition problem can be solved in linear time
- There is an algorithm to transform each automaton into a unique equivalent automaton with the least number of states.


## Deterministic Finite State Automata

A finite state automaton is deterministic iff it has

- no $\epsilon$ transitions and
- for each state and each symbol there is at most one applicable transition.

Every non-deterministic automaton can be transformed into a deterministic one:

- Define new states representing a disjunction of old states for each non-determinacy which arises.
- Define arcs for these states corresponding to each transition which is defined in the non-deterministic automaton for one of the disjuncts in the new state names.


## Example: Determinization of FSA



## From Automata to Transducers

Needed: mechanism to keep track of path taken

A finite state transducer is a 6-tuple
$\left(Q, \Sigma_{1}, \Sigma_{2}, E, S, F\right)$ with

- $Q$ a finite set of states
- $\Sigma_{1}$ a finite set of symbols, the input alphabet
- $\Sigma_{2}$ a finite set of symbols, the output alphabet
- $S \subseteq Q$ the set of start states
- $F \subseteq Q$ the set of final states
- $E$ a set of edges $Q \times\left(\Sigma_{1} \cup\{\epsilon\}\right) \times Q \times\left(\Sigma_{2} \cup\{\epsilon\}\right)$


## Transducers and determinization

A finite state transducer understood as consuming an input and producing an output cannot generally be determinized.

Example:


## Reading assignment

- Chapter 1 "Finite State Techniques" of course notes
- Chapter 2 "Regular expressions and automata" of Jurafsky and Martin (2000)

