

# Computational Linguistics II: Parsing

## *Derivations in CFGs; Complexity CSGs*

Frank Richter & Jan-Philipp Söhn

`fr@sfs.uni-tuebingen.de, jp.soehn@uni-tuebingen.de`

# The Big Picture

hierarchy	grammar	machine	other
type 3	reg. grammar	D/NFA	reg. expressions
det. cf.	LR(k) grammar	DPDA	
type 2	CFG	PDA	
type 1	CSG	LBA	
type 0	unrestricted grammar	Turing machine	

# Derivation Steps of Grammars

## Definition

For every grammar  $G$  with  $G = \langle N, T, P, S \rangle$  and  $\Sigma = N \cup T$ ,  
for every  $u, v \in \Sigma^*$ ,

if there is a rule  $l \rightarrow r \in P$  with  $u = w_1 l w_2$  and  $v = w_1 r w_2$ ,  
where  $w_1, w_2 \in \Sigma^*$  then

$$u \Rightarrow_G^1 v.$$

We say that  $u$  directly derives  $v$  in grammar  $G$ .

We write  $\Rightarrow_G^*$  for the reflexive transitive closure of  $\Rightarrow_G^1$  and omit the subscript  $G$  if the grammar is clear from the context.

# Language Generated by a Grammar

## Definition

For every grammar  $G$  with  $G = \langle N, T, P, S \rangle$  the language  $L(G)$  generated by  $G$  is

$$L(G) = \{x \in T^* \mid S \Rightarrow_G^* x\}.$$

# More on Derivations (1)

If at each step in a derivation a production is applied to the leftmost nonterminal, then the derivation is said to be *leftmost*.

A derivation in which the rightmost nonterminal is replaced at each step is said to be *rightmost*.

# An Example: Grammar (1)

- (1)  $S \rightarrow NP VP$
- (2)  $NP \rightarrow Det N$
- (3abc)  $Det \rightarrow der \mid die \mid dem$
- (4abc)  $N \rightarrow mann \mid frau \mid fernglas$
- (5)  $N \rightarrow N PP$
- (6)  $PP \rightarrow mit NP$
- (7ab)  $VP \rightarrow V NP \mid V NP PP$
- (8)  $V \rightarrow sah$

# An Example: Derivation (2)

$S \Rightarrow^1 NP VP \Rightarrow^1 Det N VP \Rightarrow^1 Det N V NP PP \Rightarrow^1$

$Det N V NP \text{ mit } NP \Rightarrow^1 Det N V Det N \text{ mit } NP \Rightarrow^1$

$Det N V Det N \text{ mit } Det N \Rightarrow^1$

$der N V Det N \text{ mit } Det N \Rightarrow^1$

$der mann V Det N \text{ mit } Det N \Rightarrow^1$

$der mann sah Det N \text{ mit } Det N \Rightarrow^1$

$der mann sah die N \text{ mit } Det N \Rightarrow^1$

$der mann sah die frau \text{ mit } Det N \Rightarrow^1$

$der mann sah die frau \text{ mit dem } N \Rightarrow^1$

der mann sah die frau mit dem fernglas

# An Example: Leftmost Derivation (3)

S  $\Rightarrow^1$  NP VP  $\Rightarrow^1$  Det N VP  $\Rightarrow^1$  der N VP  $\Rightarrow^1$

der mann VP  $\Rightarrow^1$  der mann V NP PP  $\Rightarrow^1$

der mann sah NP PP  $\Rightarrow^1$

der mann sah Det N PP  $\Rightarrow^1$

der mann sah die N PP  $\Rightarrow^1$

der mann sah die frau PP  $\Rightarrow^1$

der mann sah die frau mit NP  $\Rightarrow^1$

der mann sah die frau mit Det N  $\Rightarrow^1$

der mann sah die frau mit dem N  $\Rightarrow^1$

der mann sah die frau mit dem fernglas



# An Example: Leftmost Derivation (3b)

S  $\xRightarrow{1}$  NP VP  $\xRightarrow{2}$  Det N VP  $\xRightarrow{3a}$  der N VP  $\xRightarrow{4a}$

der mann VP  $\xRightarrow{7b}$  der mann V NP PP  $\xRightarrow{8}$

der mann sah NP PP  $\xRightarrow{2}$

der mann sah Det N PP  $\xRightarrow{3b}$

der mann sah die N PP  $\xRightarrow{4b}$

der mann sah die frau PP  $\xRightarrow{6}$

der mann sah die frau mit NP  $\xRightarrow{2}$

der mann sah die frau mit Det N  $\xRightarrow{3c}$

der mann sah die frau mit dem N  $\xRightarrow{4c}$

der mann sah die frau mit dem fernglas

# An Example: Another Derivation (3)

S  $\Rightarrow^1$  NP VP  $\Rightarrow^1$  Det N VP  $\Rightarrow^1$  der N VP  $\Rightarrow^1$

der mann VP  $\Rightarrow^1$  der mann V NP  $\Rightarrow^1$

der mann sah NP  $\Rightarrow^1$

der mann sah Det N  $\Rightarrow^1$

der mann sah die N  $\Rightarrow^1$

der mann sah die N PP  $\Rightarrow^1$

der mann sah die frau PP  $\Rightarrow^1$

der mann sah die frau mit NP  $\Rightarrow^1$

der mann sah die frau mit Det N  $\Rightarrow^1$

der mann sah die frau mit dem N  $\Rightarrow^1$

der mann sah die frau mit dem fernglas

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There are inherently ambiguous CF languages, i.e. languages  $L$  such that each CFG  $G$  generating  $L$  generates strings with more than one leftmost/rightmost derivation.

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## ● Parsing:

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- output: syntactic tree
- needs “memory” of which rules were applied

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- A parser's time complexity depends on the parsing algorithm as well as on the size of the grammar (mostly neglected) and the length of the input  $n$ .
- Complexity is described in terms of the **worst case** behavior of algorithms.
- The complexity of an algorithm is **independent of the implementation**.
- The empirically tested efficiency is in most cases better than the complexity, but it depends not only on the hardware and the implementation but also on the grammar and on the input (ambiguity rate, length, grammaticality).

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- *exponential complexity*  $O(p^n)$ : proportional to the  $n$ th power of factor  $p$

# Time Complexity – Example

Comparison of polynomial and exponential complexities for  $p = 2$  and for  $p=10$ :

$n$	poly.	expo.	poly.	expo.
1	2	1		
2	4	4		
3	9	8		
4	16	16		
5	25	32		
6	36	64		
7	49	128		
8	64	256		
9	81	512		
10	100	1024		

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$n$	poly.	expo.	poly.	expo.
1	2	1	1	10
2	4	4	1 024	100
3	9	8	59 049	1 000
4	16	16	1 048 576	10 000
5	25	32	9 765 625	100 000
6	36	64	60 466 176	1 000 000
7	49	128	282 475 200	10 000 000
8	64	256		
9	81	512		
10	100	1024		

# Context Sensitive Grammars (1)

Let us be slightly more precise than before about our notion of grammars:

## Definition

A quadruple  $\langle N, T, P, S \rangle$  is a *grammar* iff

$N$  and  $T$  finite sets,  $N \cap T = \emptyset$ ,

$P \subseteq (N \cup T)^+ \times (N \cup T)^*$ ,  $P$  a finite set,

and  $S \in N$ .

Remark:

We write  $A \rightarrow B$  for the elements of  $P$ ,  $A \in (N \cup T)^+$  and  $B \in (N \cup T)^*$ .



# Context Sensitive Grammars (2)

## Definition

A grammar  $\langle N, T, P, S \rangle$  is *context-sensitive* iff every production in  $P$  is of the form  $x_1Ax_2 \rightarrow x_1yx_2$ , with  $x_1, x_2 \in \Sigma^*$ ,  $y \in \Sigma^+$ ,  $A \in N$  and the possible exception of  $C \rightarrow \epsilon$  in case  $C$  does not occur on the righthand side of a rule in  $P$ .

## Definition

A grammar  $\langle N, T, P, S \rangle$  is *monotonic* iff for every production  $l \rightarrow r \in P$ ,  $|l| \leq |r|$ .

# Context Sensitive Grammars (3)

We will not prove the following important theorem:

## Theorem

- (I) For every monotonic grammar  $G_M$  there is a context-sensitive grammar  $G_S$  such that  $L(G_M) = L(G_S)$ .
- (II) For every context-sensitive grammar  $G_S$  there is a monotonic grammar  $G_M$  such that  $L(G_S) = L(G_M)$ .

Remark:

The languages generated by monotonic and context-sensitive grammars are generally referred to as context-sensitive languages. Their grammars are called Type 1 grammars.