# Agreement in HPSG Introduction to HPSG, WS 2007/2008

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#### **Outline**

#### Two Views of Agreement

Derivation-based agreement Constraint-based agreement

#### Problems for Derivation-Based Agreement Theories

French

Onondaga

German

#### Agreement Mismatches

Syntactic Agreement Semantic Agreement

#### Agreement in English

- 1. Pronoun-Antecedent Agreement
- 2. Subject-Verb Agreement
- 3. Determiner-Noun Agreement



#### Material

#### Head-Driven Phrase Structure Grammar '94

by Carl Pollard & Ivan A. Sag

Chapter 2, sections: 2.1 - 2.4

# Agreement

#### Definition

systematic covariation of linguistic forms

- 1. derivation-based agreement
- 2. constraint-based agreement

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 a directional process that copies or moves bundles of agreement features from a nominal onto something that agrees with it

- agreement controller: the nominal item that starts the agreement
- agreement target: agreeing element (i.e., the verb) that gets the agreement information transfered onto
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# Constraint-based agreement

#### Definition

the systematic variation in form that arises from the fact that information coming from two sources about a single object must be compatible.

- agreement does not 'flow' in one direction or the other
- subject-verb agreement is not determined by the subject or the verb alone

#### **Indices**

 the shape of the verb is constrained when the grammar requires structure sharing between the INDEX value of one expression and an index specified by some other expression

#### Definition

objects that keep track of the entities being discussed in the discourse

# Underspecification

#### Definition

The type assigned is not a maximally-specific type

- 1. The salmon swims in the river
- 2. The salmon swim in the river.
  - the word salmon can be singular or plural

# Three types of agreement

#### There are at least three kinds of agreement:

- 1. index agreement: indices are required to be token-identical
- syntactic agreement: strictly syntactic objects are identified
- pragmatic agreement: contextual background assumptions are required to be consistent

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# Predicate adjectives in French must agree with their subjects with respect to *number* and *gender*

#### Example

- 1. II (masc) est heureux (masc). (He is happy)
- 2. \*II (masc) est heureuse (fem). (He is happy)

#### Problem

A derivation-based account will have to posit multiple lexical entries for first- and second-person pronouns

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- the first- and second-person pronouns are unspecified for

- 1. Je suis heureux (masc). (I am happy)

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## Onondaga

- as pointed out by Chafe (1970), verbs in the Iroquoian language Onondaga are systematically marked for number
- nouns in Onondaga are typically unmarked for number

#### a. cihá kahnyá-ha?

- a. cihá kahnyá-ha? dog barking - SINGULAR

- a. cihá kahnyá-ha? dog barking - SINGULAR 'The dog is barking.'

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# Onondaga

- derivational analysis: feature-copying that would result in three distinct lexemes for each noun in this language
- constraint-based analysis: only one lexeme for each noun one that is unspecified for number

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#### German

- German nouns and adjectives typically exibit far more paradigm slots than distinct lexical forms
- derivation-based account: there must be a distinct lexical entry for each paradigm slot

	SING	PLUR	
NOM	Tisch	Tische	
GEN	Tisches	Tische	
DAT	Tisch	Tischen	
ACC	Tisch	Tische	

#### German

 constraint-based account: the number of nominal lexemes can be reduced to the number of distinct inflected forms

FORM	GEND	NUM	CASE
Tisch	MASC	SING	¬GEN
Tisches	MASC	SING	GEN
Tische	MASC	PLUR	$\neg DAT$
Tischen	MASC	PLUR	DAT

#### assignment of gender can be:

- grammatical
- natural
- when it comes to the way languages assign gender, we come up with 2 types of languages:
  - 1. natural gender languages
  - 2. syntactic gender languages

# Natural Gender Languages

- a specialization of language in which at least some living things' grammatical genders are determined by their sex
- gender distinctions correspond to semantic sortal distinctions:
  - sex
  - human/nonhuman
  - animate/inanimate
- Example: English

- common nouns are more or less arbitrarily assigned to genders
- a particular referential index will bear a certain value for the gender feature
- the entity to which that index is anchored in the discourse is appropriately classified by a common noun belonging to the corresponding gender class
- Examples: French, German, Romanian

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# Aggregate vs. Nonaggregate

- the plural vs. singular agreement corresponds to an aggregate vs. nonaggregate (atomic) mode of individuation of the referent
- when a nonaggregate entity is referred to: singular agreement
- when an aggregate is referred to: plural agreement

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- the agreement is between the agreement target and the referent of an NP and not the syntactic NP

- The hash browns at table nine are/"is getting cold.
   The hash browns at table nine is/"are getting angry.
- even though the NP the hash browns at table nine is inherently plural, when its referent is transferred to a nonaggregate entity, we have singular subject-verb agreement.

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- English relative pronouns appear to agree with the head noun with respect to a feature that corresponds closely to the notion of humanness
- BUT: the feature specification in question cannot simply be a syntactic property of the head noun
- Barlow (1988): the choice of who vs. which is tied to the referent of a given phrase

- The volcano which/\*who has been dormant for a century erupted.
- 2. The volcano who just left the room was Bill's kid

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# Singular Plurals

- Doing phonology problems and drinking vodka makes me sick.
- 2. Steak and okra appears to bother Kim.
  - there is a conflict between the agreement features of the subject NP and those that the singular verb normally demands of its subject
  - what about purely syntactic analyses of subject-verb agreement?

#### Collectives

- collective nouns can denote:
  - a nonaggregate entity
  - an aggregate of entities

## Example

The Chicago Bears are/is? a large football team.

 the connection between the mode of individuation and the mode of agreement

#### Collectives

 in many contexts either the aggregate or the nonaggregate mode of individuation is possible

- 1. The faculty *is* voting *itself* a raise.
- 2. The faculty *are* voting *themselves* a raise.
- 3. \*The faculty is voting themselves a raise.
- 4. \*The faculty are voting itself a raise.

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#### in discourse, a speaker can employ a new index for an old referent

signals a change in how that referent is being individuated

## Example

The Senate just voted *itself/\*themselves* another raise. Most of them were already overpaid to begin with.

 semantically & pragmatically we can switch from a nonaggregate entity to an aggregate of entities when binding is involved (syntax) we cannot switch

- 1. That dog is so ferocious, *it* even tried to bite *itself/\*himself*.
- 2. That dog is so ferocious, *he* even tried to bite *himself/\*itself*.
- anaphors must be coreferenced with an antecedent
- the properties of the antecedent's index must match with the anaphor's index.

# **HPSG Theory**

#### A theory that incorporates:

- semantic information
- pragmatic information
- syntactic information

# Types of Agreement

#### There are 3 basic types of agreement in English:

- 1. pronoun-antecedent agreement
- 2. subject-verb agreement
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# Coindexing

- pronoun-antecedent agreement is realized by coindexing
- agreement features: person, number, and gender
- do not confuse coindexing with coreference!

#### Example

[The cornerstone]; of each building bears the initials of the mason who laid it<sub>i</sub>.

the definite description does not have a referent

#### Accidental coreference

 two NP tokens have distinct indices that are anchored to the same referent

- 1. It isn't true that nobody voted for John<sub>i</sub>. **John**<sub>j</sub> voted for him<sub>i</sub>. (both uses of John refer to the same person)
- 2. He<sub>i</sub> [pointing to Richard Nixon] voted for Nixon<sub>i</sub>.

 pragmatics: the pronoun she has to agree with a feminine noun

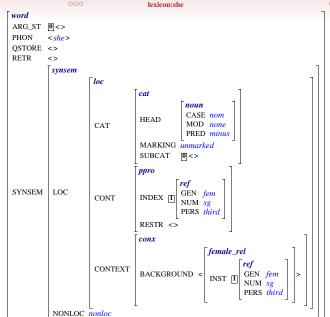
#### Example

John; (fem) thinks she; (fem) is smart.

nouns that are unspecified for gender:

#### Example

My neighbor<sub>i</sub> thinks he<sub>i</sub>/she<sub>i</sub> is smart.



# common nouns lexically specify a gender value on the index

 a different pragmatic constraint: an entity can serve as the anchor for an NP index only if the index's agreement features coincide with those of a common noun that effectively classifies that entity at a level of granularity appropriate to the context

- 1. Elle/\*ll est trés longue.
- 2. It<sub>fem</sub>/It<sub>masc</sub> is very long. (pointing to a table)

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#### CASE

co-indexation information doen not include CASE information:

#### Example

We<sub>i</sub> can't stand for people to disagree with us<sub>i</sub>.

 coindexing between the two plural pronouns, even though they do not agree on values for the syntactic feature CASE

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# Subject-Verb Agreement

- the verb specifies the index values of items on its SUBCAT list
- verbs specify information about the indices of their subject NPs so as to be able to assign semantic roles to their subjects
- agreement features: person and number

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## Example

- 1. every man (singular index)
- 2. \*every men
- 3. \*all man
- 4. all men (plural index)
- we can see this through the SPEC feature

#### nonaggregate/aggregate

every specifies that the index of its HEAD be [NUM sg]
 all specifies that the index of its HEAD be [NUM n]

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#### Problematic cases

The class of collective nouns that denote social organization that depart from the patterning (of being individuated as either nonaggregate or aggregate):

- 1. John's family is destroying itself.
- 2. John's family *are* destroying *themselves*.
- 3. \*John's family is destroying themselves.
- 4. \*John's family are destroying itself.

- 1. Every faculty *is/\*are* homogeneous.
- 2. Every faculty *meets/\*meet* on a montlhy basis.
- 3. All faculty \*is/are required to submit the midterm grades.
- 4. All faculties \*meets/meet on a monthly basis.
  - unlike class/caste collective nouns, the social-organization collectives denote entities that are individuated as nonaggregate

#### Problematic cases

#### Example

- 1. Every family has problems.
- 2. Every family gets together for the holidays.
- 3. All family \*is/\*are asked to bring a dessert or a salad.
- 4. All families are asked to bring a dessert or a salad.

#### Unresolved

Why castes and social organizations differ with respect to the mode of individuation.

# the three kinds of agreement view agreement in terms of structure-sharing of indices

- different mechanisms at different levels:
  - CONTEXT (pronoun-antecedent agreement)
  - SUBCAT (subject-verb agreement)
  - SPEC (determiner-noun agreement)