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# Indefinites and Sluicing

## A Type-Logical Approach

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# 1. Outline of talk

- Anaphora in Type Logical Grammar
- Extrapolation to indefinites
- Linguistic consequences:
  - Indefinites and scope
  - Sluicing

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## 2. Anaphora in TLG

### 2.1. Jacobson's proposal

- Semantics: pronouns denote identity functions
- Syntax: third slash: " $A|B$ " is category of anaphoric expression
- Pronouns: category  $np|np$



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## 2.2. Adaptation to TLG

- Natural Deduction rules for anaphora slash

$$[M : A]_i \quad \cdots \quad \frac{N : B | A}{[NM : B]_i} | E, i \quad \frac{\begin{array}{c} \frac{M : A | B}{Mx : A} | i \\ \vdots \\ \vdots \end{array}}{\frac{N : C}{\lambda x N : C | B} | I, i}$$

- Only constraint on anaphora resolution: The antecedent must precede the pronoun

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## 2.3. Binding

(1) John said he walked

$$\begin{array}{c}
 \frac{\frac{\frac{John}{[J' : np]_i} \text{lex}}{\text{SAY}' : np \backslash s / s} \text{lex}}{\text{SAY}'(WALK' J') : np \backslash s} \text{lex} \quad \frac{\frac{\frac{he}{[\lambda x.x : np | np]_i} \text{lex}}{J' : np} \text{lex}}{WALK' J' : s} \text{lex}}{\text{WALK}' : np \backslash s} \text{lex}}{\text{SAY}'(WALK' J') J' : s} \backslash E / E
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 \frac{\frac{said}{SAY' : np \backslash s / s} \text{lex}}{SAY'(WALK' J') : np \backslash s} \text{lex}}{SAY'(WALK' J') J' : s} \backslash E
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## 2.4. Percolation

$$\begin{array}{c}
 \frac{\text{John}}{J' : np} \text{lex} \quad \frac{\text{said}}{\text{SAY}' : np \backslash s / s} \text{lex} \quad \frac{\text{he}}{\lambda x.x : np | np} \text{lex} \quad \frac{\text{walked}}{\text{WALK}' : np \backslash s} \text{lex} \\
 \frac{\text{SAY}'(WALK'y) : np \backslash s}{\text{WALK}'y : s} /E \quad \frac{\text{SAY}'(WALK'y)J' : s}{\lambda y.\text{SAY}'(WALK'y)J' : s | np} |I, 1 \\
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 \end{array}$$

## 3. Covering indefinites

### 3.1. Basic idea

- (2) a. It moved  
b. Something moved

- Proposal: (a) and (b) have
  - the same denotation:  $\lambda x.MOVE'x$
  - different syntactic categories

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## 3.2. Type Logical implementation

- yet another substructural implication, “ $\rightsquigarrow$ ”
- Intuition:  $A \rightsquigarrow B$ : category of  $B$ -sign containing an indefinite  $A$
- category of indefinite NPs:  $np \rightsquigarrow np$
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- indefinites function compose with their semantic environment
- Natural deduction rule:

$$\frac{\begin{array}{ccc} & \frac{M : A \rightsquigarrow B}{Mx : B} \textit{i} & \\ \vdots & & \vdots \\ \vdots & \vdots & \vdots \end{array}}{\frac{N : C}{\lambda x N : A \rightsquigarrow C} \rightsquigarrow, \textit{i}}$$

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(3) a. John saw something

$$\begin{array}{c} \frac{\frac{John}{np} lex}{JOHN'} \quad \frac{\frac{\frac{something}{\lambda xx} lex}{np \rightsquigarrow np} i}{y} \quad \frac{SEE'}{(np \setminus s) / np} \quad /E \\ \hline SEE'yJOHN' \\ \hline s \\ \frac{SEE'yJOHN'}{\lambda y. SEE'yJOHN'} \rightsquigarrow, i \\ np \rightsquigarrow s \end{array}$$

(3) a. John saw something

$$\begin{array}{c}
 \frac{\frac{\frac{\frac{\frac{\frac{\frac{\text{something}}{\text{lex}}}{\lambda x x}}{\text{np} \rightsquigarrow \text{np}}}{i}}{y}}{\text{np}}}{/E}}{\text{SEE}'y} \\
 \frac{\frac{\frac{\frac{\frac{\frac{\text{John}}{\text{lex}}}{\text{SEE}'}}{(\text{np} \setminus s) / \text{np}}}{\text{np} \setminus s}}{/E}}{\text{SEE}'y}}{\text{np}} \\
 \hline
 \text{SEE}'y\text{JOHN}' \\
 \frac{s}{\lambda y.\text{SEE}'y\text{JOHN}'} \rightsquigarrow, i \\
 \text{np} \rightsquigarrow s
 \end{array}$$

### 3.3. Descriptive content

- Idea: descriptive content expresses domain restriction
- $\|a\|$  = function that maps a property to the identity function over its extension
- $\|a \text{ cup}\|$  = identity function on the set of cups
- $\|a \text{ cup moved}\|$  = partial function  $f$  from individuals to truth values:
  - $f(x) = 1$  iff  $x$  is a cup that moved
  - $f(x) = 0$  iff  $x$  is a cup that did not move
  - $f(x)$  is undefined iff  $x$  is not a cup

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## 4. Variable free existential closure

- Existential closure of a partial function: “big union” over its domain
- built in into the truth definition and the semantics of propositional operators (as in DRT)
- Relativization to syntactic categories to confine existential closure to indefinites

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- Truth is relativized to sequence of referents and syntactic category

### Definition 1 (Truth)

$$\begin{aligned}
 \vec{e} \models \alpha : s & \text{ iff } \alpha = 1 \\
 c\vec{e} \models \alpha : S|np & \text{ iff } \vec{e} \models (\alpha c) : S \\
 \vec{e} \models \alpha : np \rightsquigarrow S & \text{ iff } \vec{e} \models \left( \bigcup_{\alpha c \text{ is defined}} (\alpha c) \right) : S
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(4) A cup moved

$$\vec{e} \models \|\lambda x_{\text{CUP}'_x} . \text{MOVE}'_x\|_g : np \rightsquigarrow s \iff$$

$$\vec{e} \models \bigcup_{a \in \|\text{CUP}'\|_g} \|\text{MOVE}'\|_g(a) : s \iff$$

$$\bigcup_{a \in \|\text{CUP}'\|_g} \|\text{MOVE}'\|_g(a) = 1 \iff$$

$$\exists a. a \in \|\text{CUP}'\|_g \cap \|\text{MOVE}'\|_g$$

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# Negation

- Negation is polymorphic
- indefinites in its scope are (optionally) existentially closed
- anaphora slots are passed through unchanged

## Definition 2 (Negation)

$$\begin{aligned}\sim \alpha : s &= 1 - \alpha \\ \sim \alpha : S|A &= \lambda c. \sim (\alpha c) \\ \sim \alpha : A \rightsquigarrow S &= \sim \left( \bigcup_{c \in \text{Dom}(\alpha)} \alpha c \right)\end{aligned}$$

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# 5. Linguistic consequences

## 5.1. Indefinites and scope

(5) John didn't see a cup move

- First option: existential closure by negation:

$$\begin{aligned} & \neg \lambda x_{\text{CUP}'x} . \text{SEE}'(\text{MOVE}'x)\text{JOHN}' \\ & \equiv \\ & \neg \exists x(\text{CUP}'x \wedge \text{SEE}'(\text{MOVE}'x)\text{JOHN}') \end{aligned}$$

- Second option: existential closure at matrix level:

$$\begin{aligned} & \lambda x_{\text{CUP}'x} . \neg \text{SEE}'(\text{MOVE}'x)\text{JOHN}' \\ & \equiv \\ & \exists x(\text{CUP}'x \wedge \neg \text{SEE}'(\text{MOVE}'x)\text{JOHN}') \end{aligned}$$



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## 5.1. Indefinites and scope

(5) John didn't see a cup move

- First option: existential closure by negation:

$$\begin{aligned} & \neg \lambda x_{\text{CUP}'x} . \text{SEE}'(\text{MOVE}'x)\text{JOHN}' \\ & \equiv \\ & \neg \exists x(\text{CUP}'x \wedge \text{SEE}'(\text{MOVE}'x)\text{JOHN}') \end{aligned}$$

- Second option: existential closure at matrix level:

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# Properties of the analysis

## No island effects

- An indefinite can take scope over each clause it is contained in
- Indefinites scopally interact with operators like negation, but:
  - No movement involved  $\rightsquigarrow$  not constrained by constraints on movement
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- descriptive part is interpreted as domain restriction of partial function
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## Avoids

- “Donald Duck Problem” of naive long-distance existential closure analysis:

(6) a. John will be offended if we invite a certain philosopher

b.  $\simeq \quad \exists x(\text{PHILO}'x \wedge (\text{INVITE}'x\text{WE}' \rightarrow \text{OFFENDED}'M'))$

c.  $\neq \quad \exists x(\text{PHILO}'x \wedge \text{INVITE}'x\text{WE}' \rightarrow \text{OFFENDED}'M')$

- “Bound Pronoun Problem” of choice function analysis

(7) a. Every girl visited a boy she fancied

b.  $= \forall x(\text{GIRL}'x \rightarrow \exists y(\text{BOY}'y \wedge \text{FANCY}'yx \wedge \text{VISIT}'yx))$

c.  $\neq \quad \exists f(\text{ChF}(f) \wedge \forall x(\text{GIRL}'x \rightarrow \wedge \text{VISIT}'f(\lambda y.\text{BOY}'y \wedge \text{FANCY}'yx)x))$

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## 6. Sluicing

- (8) a. A cup moved, and Bill wonders which cup  
b. A cup moved, and Bill wonders which cup moved

- Syntax:

- Sluicing involves a bare *wh*-phrase
- needs a declarative clause containing an indefinite as antecedent

- Semantics:

- “missing” material is identical to antecedent except that indefinite is replaced by *wh*-trace

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- No transformational connection to non-elliptical counterpart
- No restrictions on scope of indefinites  $\Rightarrow$  no restrictions on embedding depth of antecedent indefinites in Sluicing

- (11) a. The administration has issued a statement that it is willing to meet with one of the student groups, but I'm not sure which one
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## Morphological sensitivity

(12) Er will jemandem schmeicheln, aber sie wissen nicht  
{wem / \*wen}

HE WANTS SOMEONE<sub>DAT</sub> FLATTER BUT THEY  
KNOW NOT {WHO<sub>DAT</sub> / \*WHO<sub>ACC</sub>}

'He wants to flatter someone, but they don't know  
whom'

- morphological information coded in syntactic category
- indefinite NP in dative has category  $np(dat) \rightsquigarrow np(dat)$
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- Indefinites and pronouns are interpreted as (partial) identity functions
- Pronoun binding via syntactic deduction
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